

# EUROPEAN PATENT APPLICATION

(21) Application number: 89304118.6

(51) Int. Cl.4: **G 11 B 7/09**

(22) Date of filing: 25.04.89

(30) Priority: 25.04.88 JP 101948/88

(43) Date of publication of application:  
02.11.89 Bulletin 89/44

(84) Designated Contracting States: DE GB NL

(71) Applicant: **SHARP KABUSHIKI KAISHA**  
22-22 Nagaike-cho Abeno-ku  
Osaka 545 (JP)

(72) Inventor: **Murao, Yugo**  
Mezon Marinu 502 3-6-24, Kuwazu  
Higashisumiyoshi-ku Osaka (JP)

**Nakamura, Masao**  
31-105, Tsurumai Higashi 1-ban  
Nara-shi Nara-ken (JP)

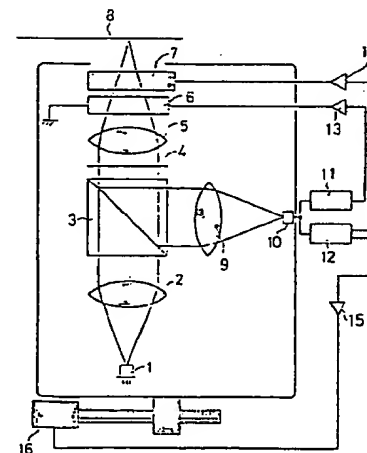
**Nakamura, Tsutomu**  
2-7-12, Uenomaru  
Akashi-shi Hyogo-ken (JP)

(74) Representative: **Brown, Kenneth Richard et al**  
R.G.C. Jenkins & Co. 26 Caxton Street  
London SW1H 0RJ (GB)

(54) Optical pickup device.

(57) An optical pickup device including a light source for emitting light beam to a recording medium; a lens provided on an optical path from the light source to the recording medium, for converging the light beam to make a focusing spot on the recording medium; a tracking error detector receiving the light beam reflected from the recording medium, for detecting a tracking error; a focusing error detector receiving the reflected light beam, for detecting a focusing error; a controller receiving an output from the tracking error detector and the focusing error detector, for outputting a tracking error correction signal and a focusing error correction signal; a deflection angle adjuster provided on the optical path and receiving the tracking error correction signal, for adjusting a deflection angle of the light beam; and a refractive index adjuster provided on the optical path and receiving the focusing error correction signal, for adjusting a refractive index of the light beam.

FIG. 1



## Description

### Optical Pickup Device

#### BACKGROUND OF THE INVENTION

##### Field of the Invention

The present invention relates to an optical pickup device and, more particularly, it relates to an optical pickup device which is used as a pickup device in a CD player, for reproducing optical information on a recording medium.

##### Description of the Prior Art

A conventional optical pickup device disclosed in United States Patent No. 4,678,276 and No. 4,473,274 reads a signal with micro light beam. In such a device, an objective lens of an optical pickup device is mechanically moved in a focusing direction and a tracking direction, for example, by a two-shaft actuator in order to read a signal with high accuracy, and thereby the focus of light beam follows a signal arranged track on a recording medium.

However, it is necessary to use a lot of precision machine parts to move the objective lens mechanically with high accuracy. As a result, an optical system is large-sized and its weight is also increased. In addition to that, its manufacturing process is complicated to cause an increase in manufacturing cost. Also, dust laying on the precision machine parts makes operation unstable, and therefore the maintenance of the device is difficult.

#### SUMMARY OF THE INVENTION

An optical pickup device according to the present invention comprises a light source for emitting light beam to a recording medium having a track line in which information is recorded; lens means provided on an optical path from the light source to the recording medium, for converging the light beam to make a focusing spot having a predetermined diameter on the recording medium; reading means receiving the light beam reflected from the recording medium, for reading information on the track; tracking error detecting means receiving the light beam reflected from the recording medium, for detecting a tracking error caused by a deviation of the light beam spot formed on the recording medium in a transverse direction relative to the track; focusing error detecting means receiving the reflected light beam, for detecting a focusing error caused by a distance variation between the lens means and the recording medium; control means receiving an output from the tracking error detecting means and the focusing error detecting means, for outputting a tracking error correction signal and a

focusing error correction signal; deflection angle adjusting means provided on the optical path and receiving the tracking error correction signal, for adjusting a deflection angle of the light beam; and refractive index adjusting means provided on the optical path and receiving the focusing error correction signal, for adjusting a refractive index of the light beam.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a diagram showing a construction of an embodiment according to the present invention;

Figs. 2 and 3 are diagram illustrating a function of the embodiment;

Figs. 4, 5 and 6 are diagrams showing in detail main portions in Fig. 1.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

According to the present invention, a refractive index adjusting means may be any refractive index adjusting device known in the art. Specifically, an electro-optical device of which refractive index is varied through Pockels effect or Kerr effect may be used. Materials for such an electro-optical device include, for example, a crystal of  $\text{KH}_2\text{PO}_4$  (KDP),  $\text{LiTaO}_3$ ,  $\text{LiNbO}_3$  having Pockels effect and transparent ceramics such as PLZT having Kerr effect. A deflection angle adjusting means according to the present invention may be any deflection angle adjusting device known in the art. Specifically, an acousto-optical device in which the traveling direction of light, or the polarization angle of light, is varied through Bragg diffraction may be used. Materials for such an acousto-optical device include a monocrystal of  $\text{LiNbO}_3$ ,  $\text{PbMoO}_4$ ,  $\text{TeO}_2$ , Ge or the like, dense flint glass, chalcogens glass, fused quartz and the like.

An optical pickup device according to the present invention may be applied mainly to an optical disc memory such as a video disc or a compact disc. Preferably, a laser diode having an oscillation wavelength of 500 - 1000 nm is used as a light source for emitting light beam to a recording medium. As a lens means for converging the light beam onto the recording medium, a combination of three to four glass lenses, a plastic lens or the like is preferably used. A tracking error detection means is preferably a plurality of optical detectors such as photodiodes for detecting a tracking error by a method generally known as a 3-beam method. A focusing error detection means is preferably a plurality of detectors such as photodiodes for detecting a focusing error by a method generally known as an astigmatism method. One or a combination of the optical detectors for detecting a

tracking error or a focusing error can be used as a reading means for reading information from a track on the recording medium.

An embodiment of the present invention will now be described in conjunction with the drawings. However, the description of the embodiment is not provided for the purpose of the limitation.

Fig. 1 is a diagram illustrating a construction of an embodiment of the optical pickup device according to the present invention. Referring to the figure, the device includes a laser diode 1 emitting laser beam, a collimator lens 2, a half prism 3, a 1/4 wavelength plate 4, an objective lens 5, an electro-optical device 6 adjusting the refractive index of passing beam with applied voltage, an acousto-optical device 7 adjusting deflection angle of the passing beam with a frequency of an applied acoustic wave, an optical disc 8 having track lines with signals recorded, a lens 9 converging light which is emitted from the disc 8 and reflected by the half prism 3, an optical detector 10 receiving the beam converged by the lens 9, a correction circuit 11 receiving a signal from the optical detector 10 for correcting a focusing error, a correction circuit 12 receiving an output from the optical detector 10 for correcting a tracking error, a driving circuit 13 for adjusting voltage applied to the electro-optical device 6 in response to an output from the correction circuit 11, a driving circuit 14 for adjusting a frequency of an ultrasonic wave applied to the acousto-optical device 7 in response to the output from the correction circuit 12, and a driving circuit 15 driving a motor 16 when a tracking error is beyond the limit the driving circuit 14 can correct, for correcting a deviation of the beam from the track by translating the whole pickup device.

In such a construction, laser beam emitted from the laser diode 1 is transformed into parallel rays of light by the lens 2, is passed through the half prism 3 and the 1/4 wavelength plate 4, is converged by the objective lens 5, is passed through the electro-optical device 6 and the acousto-optical device 7 and at last reaches the disc. The beam reflected on the track surface of the disc is passed through the acousto-optical device 7 and the electro-optical device 6, transformed into parallel rays of light with the objective lens 5, passed through the 1/4 wavelength plate 4, reflected by the half prism 3, and then converged into the optical detector 10 with the lens 9.

Fig. 2 is a diagram showing a function of the electro-optical device 6. The beam traveling from the objective lens 5 to the disc 8 reaches the disc 8 through the electro-optical device 6. If the focus of the beam is  $\Delta S$  behind the surface of the disc, the focus comes upon the disc 8 by lowering the refractive index of the electro-optical device 6  $n_2$  to  $n_3$ .

$\Delta S$  is given by the following equation;

$$\Delta S = d \times (\tan \theta_3 - \tan \theta_2) / \tan \theta_1 \quad (1)$$

where  $n_1$  is a refractive index of an external medium of the electro-optical device 6 (e.g., the air),  $\theta_1$  is an angle of incidence of the light beam into the electro-optical device 6,  $\theta_2$  is a refractive angle when the refractive index of the electro-optical device 6 is

$n_2$ ,  $\theta_3$  is a refractive angle when the refractive index of the electro-optical device 6 is changed to  $n_3$  and  $d$  is a thickness of the electro-optical device 6. Additionally,

$$n_1 \sin \theta_1 = n_2 \sin \theta_2 = n_3 \sin \theta_3 \quad (2)$$

Fig. 3 is a diagram illustrating a function of the acousto-optical device 7. The beam traveling out of the objective lens 5 and the electro-optical device 6 to the disc 8 is deflected by angle  $\alpha$  by the acousto-optical device 7, so that the position of the beam focus  $F$  is displaced by  $\Delta L$  on the surface of the disc 8. Thus, the position of the beam focus in the tracking direction is adjusted.  $\Delta L$  is calculated with the following equation within a range of relatively small  $\alpha$ ;

$$\Delta L = H \tan \alpha \quad (3)$$

where  $H$  is a distance between the acousto-optical device 7 and the disc 8. When a focusing error is caused, the correction circuit 11 calculates a correction amount based upon a signal from the optical detector 10 to apply it to the driving circuit 13. Since the driving circuit 13 adjusts voltage applied to the electro-optical device 6 in accord with the correction amount, the position of the beam focus in the focusing direction is adequately corrected. When a tracking error is caused, the correction circuit 12 calculates a correction amount based upon an output from the optical detector 10 to apply a signal corresponding to it to the driving circuit 14. The driving circuit 14 adjusts a frequency of an ultrasonic wave supplied to the acousto-optical device 7, and thereby a tracking-directional deviation of the beam incident on the disc 8 is corrected. When an error in the tracking direction is beyond the range which can be corrected by the driving circuit 14, the driving circuit 15 actuates the motor 16 to translate the whole pickup device and adjust a focal position of a laser outgoing light.

In this embodiment, an oscillation wavelength of the laser diode 1 is 700 nm, and the objective lens 5 is a glass lens having a numerical aperture of 0.5. A diffraction grating (not shown) is provided between the collimator lens 2 and the half prism 3 for dividing a light beam into three so that a tracking error can be detected by a 3-beam method. A focusing error is detected by an astigmatism method. The optical detector 10 and the correction circuits 11, 12 have a well-known construction as shown in Fig. 4 for detecting an error by the 3-beam and astigmatism methods. For example, the optical detector 10 includes photodiodes A, B and quartered photodiodes a, b, c, d. A focusing error is detected depending upon each amount of the light beam received by the respective central quartered photodiodes. An operation device 11a calculates  $(a + c) - (b + d)$  based upon each received light amount of those photodiodes to output a signal  $S_f$ . When the light beam is focused just upon the disc 8,  $S_f = 0$ , and when focused before and after the disc 8,  $S_f > 0$  or  $S_f < 0$ . When  $S_f > 0$ , a voltage adjusting device 11b permits the output voltage  $V_f$  to fall until  $S_f = 0$ , and when  $S_f < 0$ , it permits the output voltage  $V_f$  to rise until  $S_f = 0$ .

A tracking error is detected depending upon an amount of the light beam received by the photo-

diodes A, B on the opposite ends of the optical detector 10. An operation device 12a calculates A - B with respective amount of the received light of those photodiodes to output a signal  $S_t$ . When the light beam is focused just upon a track of the disc 8,  $S_t = 0$ , and when the light beam is focused on the left or the right side of a track,  $S_t > 0$  or  $S_t < 0$ . A voltage adjusting device 12b permits the output voltage  $V_t$  to fall until  $S_t = 0$  when  $S_t > 0$ , and it permits the output voltage  $V_t$  to rise until  $S_t = 0$  when  $S_t < 0$ . Information recorded on tracks is detected by an operation device (not shown) which sums up outputs from the photodiodes a, b, c, d.

As shown in Fig. 5, the electro-optical device 6 includes an electro-optical medium 6a and electrodes 6b, 6c placed at the opposite ends thereof. The driving circuit 13 is a high voltage generation circuit for applying voltage of 0 - 40 kV in proportion to the input voltage  $V_t$  between those electrodes. The electro-optical medium 6a is made of PLZT  $[(\text{Pb}_{1-x}\text{La}_x)(\text{Zr}_y\text{Ti}_{1-y})_{1-2x}\text{O}_3]$  crystals. The composition ratio of La/Zr/Ti is 9/65/35. The thickness is 3000  $\mu\text{m}$ , and the distance between the electrodes 6b and 6c is 1 cm. In the case that an electric field E is applied to a PLZT crystal, a secondary electro-optical effect, or Kerr effect causes its refractive index to decrease by  $\Delta n$ .  $\Delta n$  is given by

$$\Delta n = (1/2) n^3 \times R \times E^2 \quad (4)$$

where n is a refractive index when no electric field exists and R is a secondary electro-optical constant. In a PLZT crystal having the above composition ratio, R is equal to  $9.116 \times 10^{-16} (\text{m}^2/\text{V}^2)$  and n is equal to 2.5. Accordingly, when the maximum voltage of 40 kV is applied between the electrodes 6b, 6c,  $E = 40 \text{ kV/cm}$ . In accordance with the equation (4),  $\Delta n = 0.114$ . In other words, n is decreased from 2.5 to 2.386. Then, assuming that in the equations (1) and (2),  $n_1 = 1$  (the refractive index of the air),  $n_2 = 2.5$ ,  $n_3 = 2.386$ ,  $\theta_1 = \sin^{-1} 0.5 = 30 \text{ deg}$  (the lens numerical aperture is 0.5),

$$\Delta S = 1.765 \times 10^{-2} \times d \quad (5)$$

Since  $d = 3000 \mu\text{m}$  in this embodiment,  $\Delta S = 53 \mu\text{m}$  in accordance with the equation (5). That is, an adjustment range of the focusing position is 53  $\mu\text{m}$ .

The acousto-optical device 7, as shown in Fig. 6, includes an acousto-optical medium 7a, a piezoelectric vibrator 14b bonded to one end surface thereof and a sound absorbing member 7b bonded to the other end surface for preventing the reflection of an ultrasonic wave. The driving circuit 14 includes a signal generation circuit 14a for supplying an alternating voltage of 0 - 2 GHz in proportion to the input voltage  $V_t$  to the piezoelectric vibrator 14b. The piezoelectric vibrator 14b receives an alternating voltage to apply an ultrasonic wave having the same frequency as in the optical medium 7a. The optical medium 7a is made of a crystal of  $\text{LiNbO}_3$ . When an ultrasonic wave with the frequency f Hz is applied to the  $\text{LiNbO}_3$  crystal, the deflection angle is varied by  $\alpha$  by an acousto-optical effect.  $\alpha$  is given by the following equation;

$$\alpha = \lambda \times f/v \quad (6)$$

where  $\lambda$  is an incoming beam wavelength and v is an acoustic velocity in the crystal. In the  $\text{LiNbO}_3$  crystal, v is equal to  $6.57 \times 10^3 \text{ m/sec}$  and  $\lambda$  is equal to 700

nm. When the maximum ultrasonic wave of 2 GHz is applied to the crystal by the piezoelectric vibrator 14b,  $\alpha = 0.210 \text{ rad} = 12.21 \text{ deg}$  from the equation (6). Therefore, given in accordance with the equation (3) is the following;

$$\Delta L = 0.2164 \times H \quad (7)$$

When  $H = 500 \mu\text{m}$  in this embodiment,  $\Delta L = 108 \mu\text{m}$  in accordance with the equation (7), that is, an adjustment range of the tracking position is 108  $\mu\text{m}$ .

If the optical pickup device according to the present invention is used to reproduce information on a compact disc having a track of 1.6  $\mu\text{m}$  in track pitch, 0.5  $\mu\text{m}$  in track width (pit width), and 0.11  $\mu\text{m}$  in pit depth, it is recognized that an focusing error is not greater than  $\pm 1 \mu\text{m}$  and a tracking error is not greater than  $\pm 0.2 \mu\text{m}$ .

According to the present invention, the focusing direction and the tracking direction of light beam can be adjusted without mechanical control of an objective lens. Consequently, an optical pickup device is provided which is small and has a small number of components, is suitable for the mass production, and further has a high resolution.

The invention being thus described, it will be obvious that the same may be varied in many ways. Such variations are not to be regarded as a departure from the scope of the invention.

There are described above novel features which the skilled man will appreciate give rise to advantages. These are each independent aspects of the invention to be covered by the present application, irrespective of whether or not they are included within the scope of the following claims.

#### Claims

1. An optical pickup device comprising;
  - a light source for emitting light beam to a recording medium having a track line in which information is recorded;
  - lens means provided on an optical path from said light source to said recording medium, for converging said light beam to make a focusing spot having a predetermined diameter on said recording medium;
  - reading means receiving said light beam reflected from said recording medium, for reading information on said track;
  - tracking error detecting means receiving said light beam reflected from said recording medium, for detecting a tracking error caused by a deviation of said light beam spot formed on said recording medium in a transverse direction relative to said track;
  - focusing error detecting means receiving said reflected light beam, for detecting a focusing error caused by a distance variation between said lens means and said recording medium;
  - control means receiving an output from said tracking error detecting means and said focusing error detecting means, for outputting a tracking error correction signal and a focusing error correction signal;
  - deflection angle adjusting means provided on

said optical path and receiving said tracking error correction signal, for adjusting a deflection angle of said light beam; and refractive index adjusting means provided on said optical path and receiving said focusing error correction signal, for adjusting a refractive index of said light beam.

2. The device according to claim 1, wherein said deflection angle adjusting means comprises an acousto-optical device, and said refractive index adjusting means comprises an electro-optical device.

3. The device according to claim 2, wherein said acousto-optical device is made of crystals of  $\text{LiNbO}_3$ , and said electro-optical device is made of crystals of PLZT.

4. The device according to claim 1, wherein said deflection angle adjusting means and said refractive index adjusting means are disposed

between said lens means and said recording medium.

5. An optical pickup device for reading information from a track on a recording medium by focusing a light beam into a spot on said recording medium and detecting reflected light, the device having focus control means for maintaining correct focus of the beam and tracking control means for maintaining correct positioning of the spot laterally with respect to the track, the focus control means comprising a first element in the path of the light beam whose refractive index is variable according to a focus control signal derived from the reflected light, and the tracking control means comprising a second element in the path of the light beam, said second element being controllable by a tracking control signal to vary an angle of deflection of the light beam.

5

10

15

20

25

30

35

40

45

50

55

60

65

5

FIG. 1

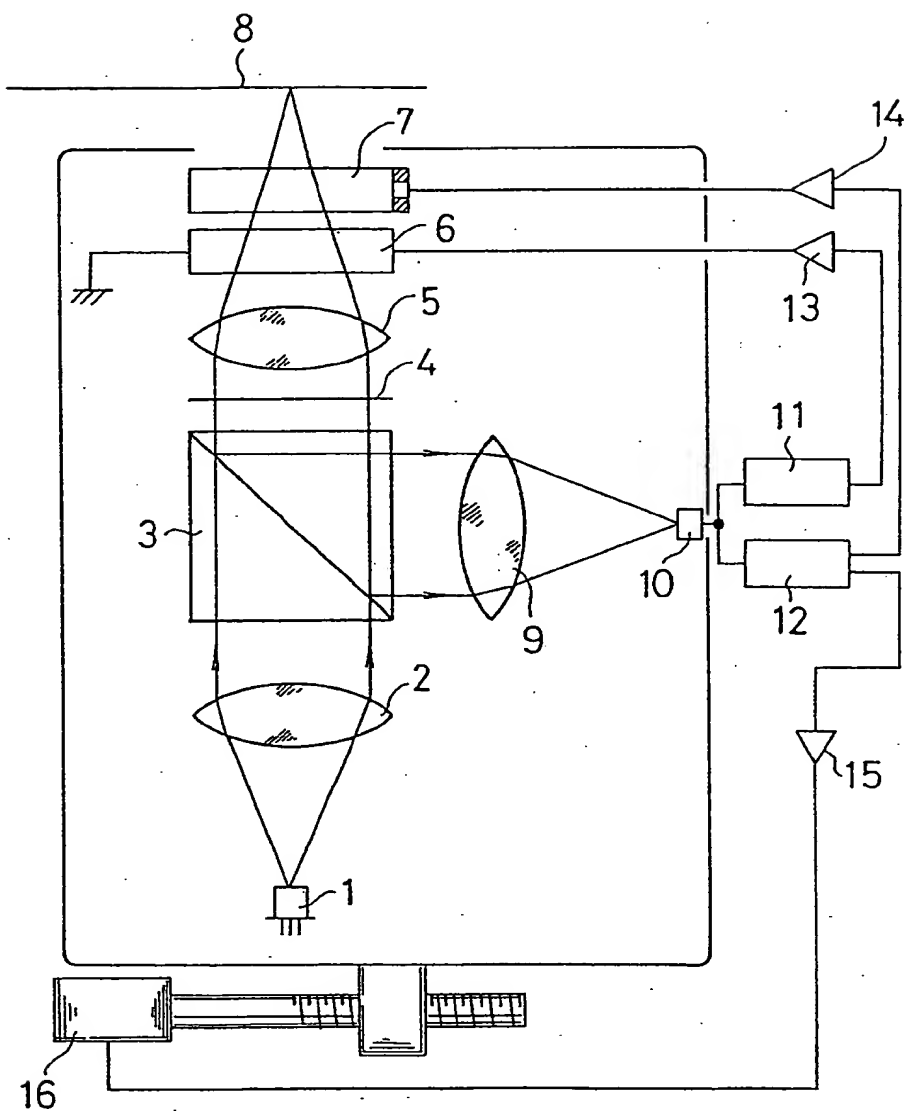


FIG. 2

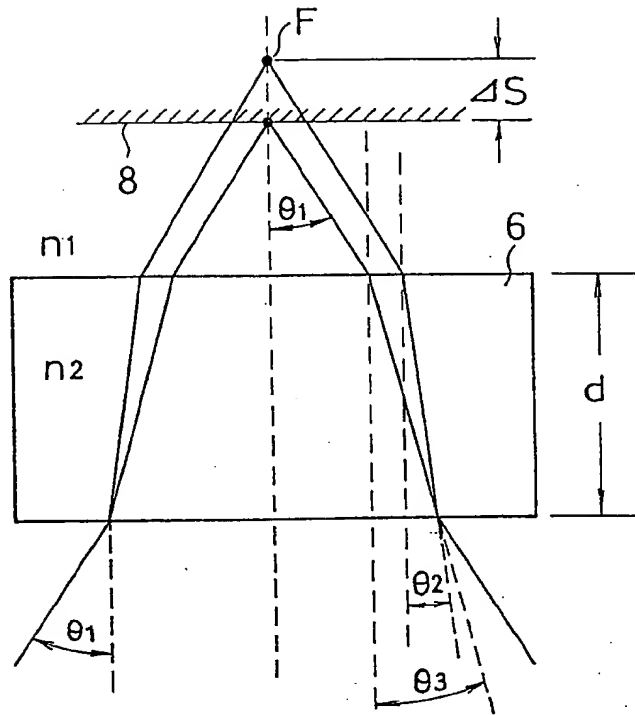


FIG. 3

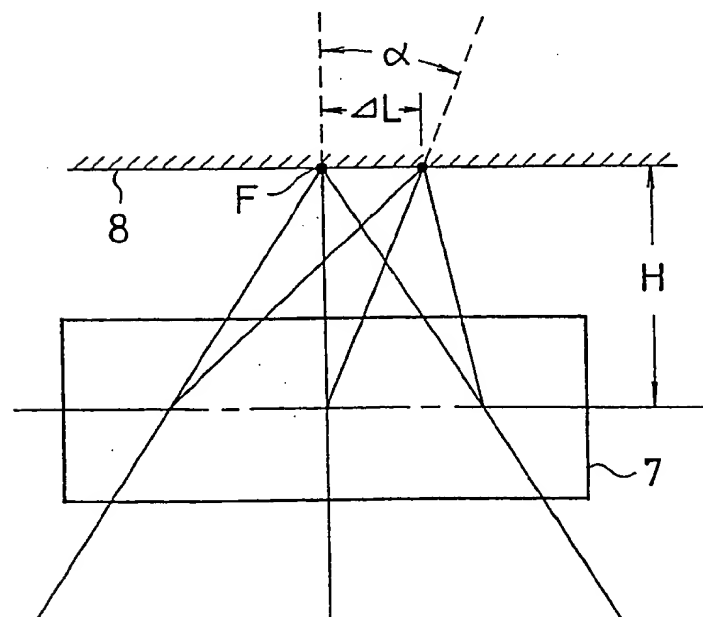


FIG. 4

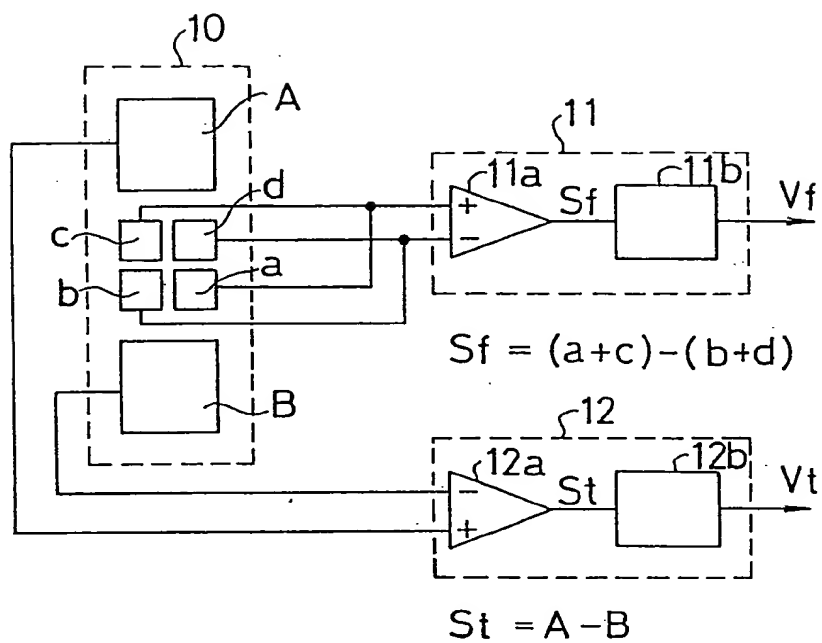


FIG. 5

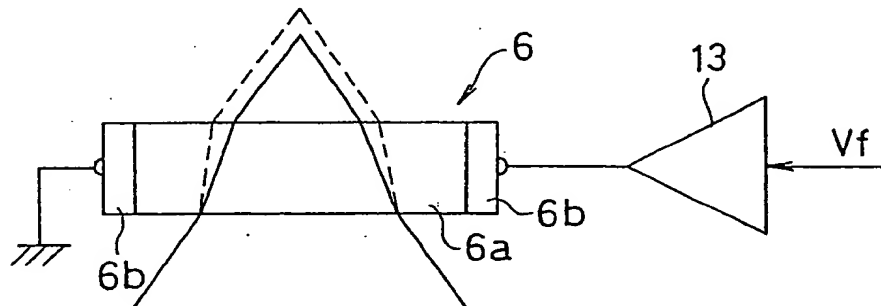
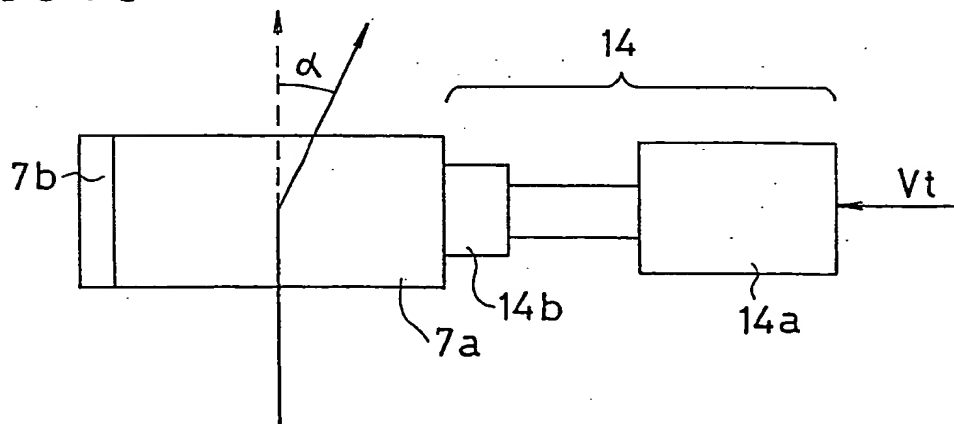


FIG. 6





(19)



Europäisches Patentamt  
European Patent Office  
Office européen des brevets

(11) Publication number:

**0 339 940**  
**A3**

(12)

# EUROPEAN PATENT APPLICATION

(21) Application number: 89304118.6

(51) Int. Cl.<sup>5</sup> **G11B 7/09**

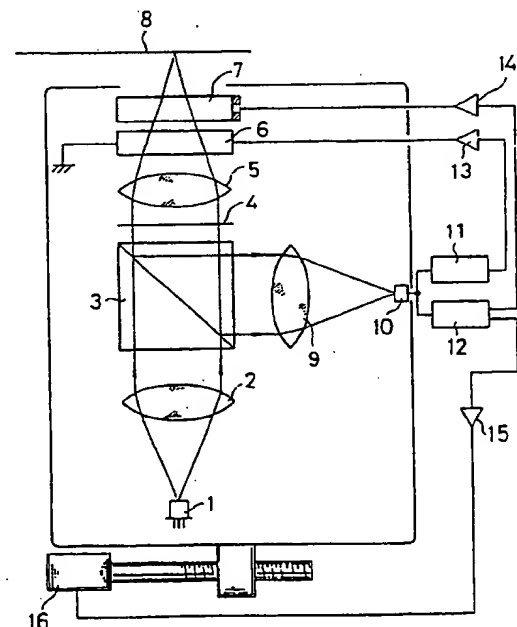
(22) Date of filing: 25.04.89

(30) Priority: 25.04.88 JP 101948/88

(43) Date of publication of application:  
02.11.89 Bulletin 89/44(64) Designated Contracting States:  
**DE GB NL**(68) Date of deferred publication of the search report:  
01.08.90 Bulletin 90/31(71) Applicant: **SHARP KABUSHIKI KAISHA**  
22-22 Nagaike-cho Abeno-ku  
Osaka 545(JP)(72) Inventor: **Murao, Yugo**  
Mezon Marinu 502 3-6-24, Kuwazu  
Higashisumiyoshi-ku Osaka(JP)  
Inventor: **Nakamura, Masao**  
31-105, Tsurumaihigashi 1-ban  
Nara-shi Nara-ken(JP)  
Inventor: **Nakamura, Tsutomu**  
2-7-12, Uenomaru  
Akashi-shi Hyogo-ken(JP)(74) Representative: **Brown, Kenneth Richard et al**  
R.G.C. Jenkins & Co. 26 Caxton Street  
London SW1H 0RJ(GB)(54) **Optical pickup device.**

(57) An optical pickup device including a light source (1) for emitting light beam to a recording medium (8); a lens (5) provided on a optical path from the light source to the recording medium, for converging the light beam to make a focusing spot on the recording medium; a tracking error detector (10) receiving the light beam reflected from the recording medium, for detecting a tracking error; a focusing error detector (10) receiving the reflected light beam, for detecting a focusing error; a controller (11, 12) receiving an output from the tracking error detector and the focusing error detector, for outputting a tracking error correction signal and a focusing error correction signal; a deflection angle adjuster (7) provided on the optical path and receiving the tracking error correction signal; for adjusting a deflection angle of the light beam; and a refractive index adjuster (6) provided on the optical path and receiving the focusing error correction signal, for adjusting a refractive index of the light beam.

FIG. 1





European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number

EP 89 30 4118

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
Y	DE-A-2 516 523 (E. WESTERBERG) * claim 1, figure 1 *	1-3,5	G 11 B 7/09
Y	US-A-4 445 209 (L. MICKLESON et al.) * column 1, lines 9-13; column 2, lines 31-46; column 4, lines 1-68; figures 2,3 *	1-3,5	
Y	EP-A-0 123 268 (INTERNATIONAL STANDARD ELECTRIC CORPORATION) * abstract; page 1, lines 8-18 *	3	
Y	PATENT ABSTRACTS OF JAPAN vol. 8, no. 109 (P-275)(1546), 22nd May 1984; & JP - A - 59 018 931 (MATSUSHITA) 31.01.1986	3	
A,P	PATENT ABSTRACTS OF JAPAN vol. 12, no. 231 (P-723)(3078), 30th June 1988; & JP - A - 63 024 220 (HITACHI) 01.02.1988	1,5	
			TECHNICAL FIELDS SEARCHED (Int. Cl. 4)
			G 11 B 7/00 G 02 F 1/00
The present search report has been drawn up for all claims			
Place of search BERLIN		Date of completion of the search 05-04-1990	Examiner CHAUMERON B.
<b>CATEGORY OF CITED DOCUMENTS</b> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

EPO FORM 1503 03.82 (P0401)